

PTO-413A (08-03)
 Approved for use through 07/31/2006. OMB 0651-0031
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No. 09/831,917 First Named Applicant: John CANNING
 Examiner: Kigani, K.C. Art Unit: 2877 Status of Application: FINAL REJECTION

Tentative Participants:

(1) Ex. Kigani (2) Ex. F. Font 703-872-
 (3) Vangelis Economou (4) 9306

Proposed Date of Interview: 1/20/04 Proposed Time: 11:00 (AM/PM)

Type of Interview Requested:

(1) Telephonic (2) Personal (3) Video Conference

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JAN 13 2004

Exhibit To Be Shown or Demonstrated: YES NO
 If yes, provide brief description: _____

Unofficial

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>restriction legal.</u>	<u>12-22</u>	<u>—</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103(a) Rej.</u>	<u>12-23, 25-32</u>	<u>Greece</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>New Claim</u>	<u>22</u>	<u>11</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Continuation Sheet Attached *includes proposed amendment for clarity*

Brief Description of Arguments to be Presented:

An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. This will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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DOCKET: CU-2503

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
John CANNING et al.

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Serial No.: 09/831,417

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Art Unit: 2877

Filed: May 9, 2001

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Examiner: Kianni, K.C.

For: TUNING OF OPTICAL DEVICES

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Certification under 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, on January 13, 2004.

Vangelis Economou - Reg. No. 32,341

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. § 1.116

Sir:

In response to the Official Action dated July 16, 2003, please amend the above-identified application as follows:

IN THE CLAIMS:

Please replace the following claim set for any previously submitted or claim sets.